



# COLPS & COFAS

1.5 CPD

Tuesday 7th February 2012

Mint Hotel, 1 Auburn Street, Manchester

*It is a condition of authorisation under the new SRA Handbook which came into effect on 6 October that all firms must have a COLP (Compliance Officer for Legal Practice) and COFA (Compliance Officer for Finance and Administration) appointed. Firms will have to make their nominations to the SRA by March 2012 so there is very little time to get to grips with the roles, responsibilities and potential risks involved.*

*So who can and who should be appointed to these roles- the MP or Head of Compliance; how they should go about assessing overall compliance; who they report to and in what instances; what does the SRA regard as a breach and can they personally be held responsible for breaches within the firm?*

*Will it be an opportunity or a poisoned chalice?*

## **SPEAKER DETAILS:**

**Mickaela Fox** is a Partner in Weightmans, Liverpool. She heads the Solicitors' Regulatory Team in Weightmans and has a vast amount of experience in regulatory and compliance issues providing consultancy advice on all aspects of a Solicitor's practice. Mickaela is recognised as a leader in her field and praised for her confident and bright drafting skills in Chambers 2012.

**Steve Carter** is Head of Professional Practises in Baker Tilly, Manchester. Steve is the Head of Baker Tilly's Professional Practise Group in the North. He is primarily involved in providing leading-edge commercial, strategic and business advice to the legal profession. Steve has over 20 years experience as a Managing Partner of a professional practice, as part of the regional management team and office managing partner in a large international accounting firm. Steve has an understanding of the strategic and day to day management issues facing managing partners both from the perspective of an in-house management team and as an external client adviser advising law firms on financial and strategic issues.



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## Application Form

**Date:** Tuesday, 7<sup>th</sup> February 2012      **CPD:** 1.5 hours      **Course Code:** 30235

**Venue:** *Mint Hotel, 1 Auburn Street, Manchester*

**Speakers:** Mickaela Fox – Weightmans Solicitors  
Steve Carter – Baker Tilly

**Time:** Registration & Coffee – 5.30pm-6.00pm      Seminar – 6.00pm-7.30pm

**Cost:** £15.00 + VAT (£18.00 total) – Member delegates  
£30.00 + VAT (£36.00 total) – Non-member delegates

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Please reserve me ..... place(s) on the above course at £18.00 inc. VAT for Member delegates and £36.00 inc. VAT for Non-member delegates, and find enclosed my cheque for £ ....., made payable to Manchester Law Society in respect of this booking.

**NAME(S)**

1. \_\_\_\_\_ M/NM

Email: \_\_\_\_\_

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Please detach and return to Manchester Law Society, 64 Bridge Street, Manchester,

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